

**NC DIVISION MH/DD/SAS**  
**Safe & Drug Free Schools & Community Program**  
**INDIVIDUAL**  
**2008/2009**

<b>LME:</b>		<b>Date:</b>	
<b>Control #:</b>	<b>Contract Prov:</b>	<b>Record #:</b>	
<b>Rating Codes:   0 = No    1 = Yes    9 = NA</b>			<b>Rating</b>
1. There is evidence the participant is under the age of 18.			
2. There is evidence that this child has no principal or primary DSM IV TR diagnosis of substance abuse or dependence.			
3. There is evidence of a modified file for program participants.			
4. There is evidence of an Initial Assessment having been completed.			
5. There is evidence to show participants qualified for selective and indicated prevention services.			
<b>COMMENTS:</b>			
<b>MONITOR:</b>			

**NC DIVISION OF MH/DD/SAS**  
**SDFSC PROGRAM**  
**2008 / 2009**  
**MONITORING INSTRUCTIONS**

**Question #1** Review each record to determine if individual is under the age of 18 at time of admission.

**Question #2** Review the record to determine if the individual **does not** have a DSM IV TR diagnosis of substance abuse or dependence.

**Question #3** Review each record to determine that each of the following are present:

- Signed consent for participation (all programs do not have individual signed consents. Some schools have a “passive” consent which means that if the school refers a child for a prevention program, parents do not have to give permission independently. If there is no parental permission in the record, inquire about the “passive” consent.)
- Signed statement regarding confidentiality.
- Gender and demographic information
- Risk Factors
- Attendance
- Participant Outcomes
- Authorization for services

**Question #4** There is no specific assessment required. The assessment should indicate the eligibility of the individual to participate in the program and may have been completed by the LME or the Prevention Provider.

**Question #5** Review the record to determine if the individual met the following criteria:

- Is not currently abusing alcohol or drugs
- Is not currently receiving treatment for a diagnosis of substance abuse or dependence
- Is at high risk of becoming an alcohol or substance abuser or have been abusers in the past
- Has been assessed as high-risk in one or more of the following categories:
  1. is a high school dropout
  2. has experienced repeated failure in school, suspension or expulsion
  3. has become pregnant or is a teen parent
  4. is economically disadvantaged
  5. is the child of a drug or alcohol abuser
  6. is a victim of sexual, physical or psychological abuse
  7. has committed a violent or delinquent act
  8. has experienced mental health problems
  9. has attempted suicide
  10. has experienced long term physical pain due to injury
  11. is a juvenile in a detention facility within the State
  12. is physically disabled
  13. is a homeless or runaway youth